U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER FORM PTO-1390 (REV 11-2000) 117-372 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/009,171 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 9 June 1999 9 June 2000 PCT/GB00/02263 19 July 1999 TITLE OF INVENTION SIV-BASED PACKAGING-DEFICIENT VECTORS APPLICANT(S) FOR DO/EO/US LEVER et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. \boxtimes This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto. a. ſΙ has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. A English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 To 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. \boxtimes An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. A FIRST preliminary amendment. 13 14. A SECOND or SUBSEQUENT preliminary amendment. 15. 🗖 A substitute specification. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. \boxtimes Other items or information. Copy Notification of Missing Requirements 20.

| · · | 7.1. 2.07.11.0.1.1.0.1.1.0.1.1.0.1.1.0.1.1.0.1 | | | | | ATTORNEY'S DOCKET NUMBER | | | |
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| | 10/009,171 PCT/GB00/02263 | | | | 117-372 | | | | |
| 21. The following fees are submitted: | | | | | | 3 | ALCULATIONS | - | USE ONL 1 |
| BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1040.00 | | | | | | | | | |
| International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00 | | | | | | | | | |
| International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$740.00 | | | | | | | | | |
| International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$710.00 | | | | | | | | | |
| International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | | 0.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | | | | 0.00 | | |
| CLAIMS | NUMBER | | NUMBER EXTRA | | ATE | | | | |
| Total Claims | 20 | -20 = | | X | \$18.00 | \$ | 0.00 | | |
| Independent Claims | 3 T CLAIMC(C) | -3 = | 0 | X | \$84.00 | \$ | 0.00 | | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) \$280.00 TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ | 0.00 | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above | | | | | | | 0.00 | | |
| are reduced by 1/2. | | | | | | | 0.00 | | |
| SUBTOTAL = | | | | | | \$ | 0.00 | | |
| Processing fee of \$130.00, for furnishing the English Translation later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). | | | | | | | 0.00 | | |
| TOTAL NATIONAL FEE = | | | | | | \$ | 0.00 | | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + | | | | | | \$ | 40.00 | | |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00) | | | | | | \$ | 0.00 | | |
| TOTAL FEES ENCLOSED = | | | | | | \$ | 40.00 | | |
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| | | | | | | - | Charged | \$ | |
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| a. A check in the amount of \$40.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees. A duplicate copy of this form is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | h | H- | | |
| SIGNATURE NIXON & VANDERHYE P.C. | | | | | | | • | | |
| 1100 North Glebe Road, 8 th Floor | | | | | | | | | |
| Arlington, Virginia 22201-4714 | | | | | | | | | |
| Telephone: (703) 816-4000 Arthur R. Crawford ABC:nfc NAME | | | | | | | | | |
| ARC:pfc NAME | | | | | | | | | |
| 25,327 | | | | | | April 16, 20 | 002 | | |
| | | | | | RATION NUMBE | ER | Date | | |